IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF OHIO WESTERN DIVISION



| VIVIAN BERT, et al., |) Case No. C-1-02-00467 |
|-----------------------|-----------------------------|
| |) Judge Beckwith |
| Plaintiffs, |) Magistrate Judge Hogan |
| |) |
| v. |) PLAINTIFF DARLENE DENISE |
| • |) CARTER'S RESPONSES TO |
| AK STEEL CORPORATION, |) DEFENDANT'S FIRST REQUEST |
| |) FOR THE PRODUCTION OF |
| Defendant. |) DOCUMENTS |
| |) |

GENERAL OBJECTIONS

- 1. The Plaintiff objects to these discovery requests to the extent they seek information or documents protected from discovery and/or disclosure by the attorney/client privilege, the work product doctrine, or any other applicable privilege.
- 2. The Plaintiff objects to these discovery requests to the extent they seek information or documents neither material nor relevant to the claims or defenses of this action nor reasonably calculated to lead to the discovery of admissible evidence.
- 3. The Plaintiff objects to these discovery requests to the extent they are overly broad and/or unduly burdensome.
- 4. The Plaintiff objects to these discovery requests to the extent they are so vague and ambiguous as to be incapable of a definite response.
- 5. The Plaintiff objects to these discovery requests to the extent they seek confidential or proprietary information or documents. Notwithstanding this objection, the Plaintiff agrees to provide, if any exist, as more fully set forth below, such information or documents subject to the terms of a mutually agreeable protective order to be entered in this action.
- 6. The Plaintiff objects to these discovery requests to the extent they call for conclusions of law.
- 7. The Plaintiff objects to these discovery requests to the extent that they seek and/or require the production of documents which are not in the Plaintiff's possession, custody, or control.
- 8. The Plaintiff objects to the time and place of production for documents specified in these

discovery requests but state, to the extent that the documents are available, as more fully set forth below, they will be produced at a mutually convenient time and place.

- 9. The Plaintiff objects to the definitions and instructions in the discovery requests to the extent they seek to require the Defendant to comply with requirements beyond the scope of or impose burdens, duties and obligations in excess of or different from those imposed by applicable law and the Federal Rules of Civil Procedure.
- 10. The Plaintiff objects to these discovery requests to the extent they seek to discover "core" work product, including the disclosure of the mental impressions, conclusions, opinions, and/or legal theories of attorneys or other representatives of Plaintiff and/or the substance of information developed by them (i.e., interpretive, not investigatory) in preparation for the trial of this action.
- 11. The Plaintiff objects to these discovery requests to the extent that the information sought, if any, was obtained and prepared in anticipation of litigation, and the Plaintiff has not made the required showing of substantial need for the information or that the substantial equivalent of such information is unobtainable by other means. The Plaintiff further objects to these discovery requests to the extent that the information called for, if any, is privileged and is not discoverable under FRCP 26(b)(3) and *Hickman v. Taylor*, 329 U.S. 495 (1947).
- 12. The Plaintiff objects to these discovery requests to the extent that they seek information that is equally available to the Defendant, and the burden on the Defendant to obtain the requested information is no greater than the burden on the Plaintiff.
- 13. The Plaintiff objects to these discovery requests to the extent they seek information or documents relating to expert witnesses, witnesses, and trial exhibits in excess of the disclosure duties imposed by the Federal Rules of Civil Procedure and the Court's Orders relating to such matters.
- 14. The Plaintiff objects to these discovery requests to the extent that they seek information regarding matters which are not at issue in this action.
- 15. The Plaintiff objects to these discovery requests to the extent that they do not, on their face, restrict themselves either to an identifiable time or a reasonable, rationally-based time frame.
- 16. The Plaintiff objects to these discovery requests to the extent that they are oppressive, i.e., designed to create an unreasonable burden on the Plaintiff which burden is not commensurate with the professed result sought.
- 17. Except as otherwise indicated, the Plaintiff incorporates the General Objections into each

and every response set forth below. By responding to any of the discovery requests, the Plaintiff does not waive any of the foregoing General Objections.

Plaintiff responds to Defendant's requests for the production of the following documents:

Request No. 1: Produce all documents that refer to, reflect, comment on, or tend to prove or disprove any of the contentions in the Complaint or the Answer.

Specific Objection to Request No. 1: Plaintiff objects to the extent this request is vague, ambiguous, and overly broad. Plaintiff further objects to the extent that this request seeks information or documents protected from discovery and/or disclosure by the attorney/client privilege. the work product doctrine, or any other applicable privilege. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

RESPONSE: Plaintiff will produce all non-privileged responsive documents.

Request No. 2: Produce all documents that you believe support your claim for damages, or which reflect, comment on, or tend to prove or disprove such claims.

Specific Objection to Request No. 2: Plaintiff objects to the extent this request is vague, ambiguous, and overly broad. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

RESPONSE: Plaintiff will produce all non-privileged responsive documents.

Request No. 3: Produce any diary, journal, or calendar of appointments, or notes that you have maintained since January 1, 1998.

Specific Objection to Request No. 3: Plaintiff objects to the extent that this request is overly broad and/or unduly burdensome. Plaintiff also objects to the extent that this request seeks information that is not reasonably calculated to lead to the discovery of admissible evidence. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

RESPONSE: Plaintiff will produce any diary, journal, or calendar of appointments that Plaintiff has maintained since January 1, 1998 that relate to the claims or defenses in this case.

Request No. 4: Produce all documents provided to or obtained from Defendant.

Specific Objection to Request No. 4: Plaintiff objects to the extent that this request is overly broad and/or unduly burdensome. Plaintiff further objects to the extent that this request seeks information that is equally available to the Defendant, and the burden on the Defendant to obtain the requested information is no greater than the burden on the Plaintiff. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

RESPONSE: Plaintiff will produce all non-privileged responsive documents.

Request No. 5: Produce all of your medical or psychological records since January 1, 1998.

Specific Objection to Request No. 5: Plaintiff objects to this Request to the extent that the information sought is confidential and/or privileged.

Request No. 6: Produce all documents that refer to, reflect, or comment on any criminal proceeding in which in which you have been either arrested or convicted during the past 10 years.

Specific Objection to Request No. 6: Plaintiff objects to this Request to the extent that the information sought is confidential and/or privileged. Plaintiff further objects that the information is not reasonably calculated to lead to the discovery of admissible evidence.

Request No. 7: Produce your federal income tax returns and any W-2s or Form 1099s for each tax year beginning in 1999.

Specific Objection to Request No. 7: Plaintiff objects to this request to the extent that it is not reasonably calculated to lead to the discovery of admissible evidence. Plaintiff further objects to this Request to the extent the information sought is confidential and/or privileged. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

RESPONSE: Plaintiff will produce Plaintiff's W-2s or Form 1099s for 1999, 2000, and 2001.

Request No. 8: Produce any documents that you sent to or received from the EEOC, OCRC, or any other state agency with the power to investigate charges of discrimination.

RESPONSE: Plaintiff will produce all non-privileged responsive documents.

Request No. 9: Produce any resume or other listing of your qualifications for employment you have prepared or had prepared for you since January 1, 1998.

RESPONSE: Plaintiff will produce all non-privileged responsive documents.

Request No. 10: Produce any document by which you sought employment from any employer from January 1, 1998 to date.

Specific Objection to Request No. 10: Plaintiff objects to the extent this request is vague and ambiguous. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

RESPONSE: Plaintiff will produce all non-privileged responsive documents.

Request No. 11: Produce any document by which you claim you requested employment from AK Steel Corporation.

Specific Objection to Request No. 11: Plaintiff objects to the extent this request is vague and ambiguous. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

RESPONSE: Plaintiff will produce all non-privileged responsive documents.

Respectfully submitted this 27th day of November, 2002.

DAVID SANFORD, D.C. Bar No. 457933

ERIC BACHMAN, KY Bar. No. 88122

GORDON, SILBERMAN, WIGGINS & CHILDS, P.C.

7 DUPONT CIRCLE, N.W.

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SUITE 911

CINCINNATI, OH 45202

Telephone: (513) 241-8137

Facsimile: (513) 241-7863

Attorneys for Plaintiffs

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a copy of Plaintiffs' Responses to Defendant's First Set of Requests for Production of Documents was served via first-class mail, postage prepaid, upon:

Lawrence J. Barty
Patricia Anderson Pryor
Gregory Parker Rogers
Roger A. Weber
TAFT, STETTINIUS & HOLLISTER LLP
425 Walnut Street, Suite 1800
Cincinnati, Ohio 45202-3957

This is the 216L day of November, 2002.

Attorney for Plaintiffs

Case 1:02-cv- APPEREATION FOR EMPLOYMENT 2007

AK Steel Corporation



AK Steel Corporation is an Equal Employment Opportunity Employer and as such meets all government regulations pertaining to Equal Employment Opportunity.

| Name DARIENE D CARTER | Date <i></i> | 3-20 | 0/ |
|--|--------------|----------------|--|
| | RENTON | STATE | 45638 ZIP CODE |
| Phone Number — or number where you can be reached: (740) | 532-1 | 1733 NUMBER | |
| Permanent Address: SAME NO. STREET | CITY | STATE | ZIP CODE |
| Permanent Phone: (| Security # | | : • • • • • • • • • • • • • • • • • • • |
| Are you 18 years of age or older? TYes D No | | | |
| Are you lawfully entitled to work within the U.S.? YES (PROOF OF CITIZENSHIP OR IMMIGRATION STATUS IS REQUIRED UPON EMPLOYMENT) | | | |
| Have you been convicted of a crime other than a minor traffic violation? | NO | | |
| If yes, explain. | | | |
| Date available for employment: ANYTIME | | | |
| If the job requires, are you willing to travel? | Relocat | e? <i>\</i> | 0 |
| If the job requires, are you able to work all shifts? | | | |
| Have you previously applied at Armco or AK Steel? VC If yes, who | | | <u> </u> |
| Have you previously worked for Armco or AK Steel? If yes, wh | en/where? | | |
| | | | |



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EDUCATIONAL BACKGROUNDSSB-TSH Document 137-4 Filed 12/12/2007 Page 8 of 25 Circle last grade completed 1 2 3 4 5 6 7 8 (9) 10 11 12 College hours completed GRADUATED · FIELD OF GRADE POINT/SCALE TYPE OF SCHOOL NAME AND LOCATION DEGREE YES NO STUDY TOP, MID, BOT, 1/3 PONTON-High HIGH BUSINESS OR TRADE COLLEGE OR UNIVERSITY POST GRADUATE List other formal educational experience; e.g., night school, home study courses, GED, etc. If presently enrolled, indicate where and field of study: Describe any definite plans for further study: ___ List significant activities, honors, awards or elective offices which have contributed to your career goals and interests: U No BRANCH OF SERVICE HIGHEST RANK OR RATE Please, indicate any military experience or training you feel might be of interest and value to AK Steel:

Document 137-4 Filed 12/12/2007

Page 9 of 25

I hereby certify that the foregoing information is accurate, and I authorize AK Steel to verify it. I specifically authorize AK Steel Corporation to obtain information from my former employers and other references, and I authorize my former employers and other references to release information to AK Steel Corporation.

I agree that any false or misleading statement in this application for employment or any additional forms signed by me in connection with my employment shall be sufficient cause for refusal or termination of employment.

I understand that this application is not and is not intended to be a contract of employment. I agree to submit to a physical examination applicable to the requirements of the type of work for which I am applying, including drug and alcohol abuse screening.

Signature of Applicant

Application received by/Date received

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| | Cincinnati Area Of | | 3 | and EEOC |
| | State or local Agency, if a | ************************************** | | — and beoc |
| NAME(Indicate Mr., Ms., Mrs.) | | HOME TELEPHONE | (Include Area Code) | |
| Ms. Darlene Do | enise Carter | | (740) 532-1733 | |
| | Y, STATE AND ZIP CODE | | (* 10) 000 1100 | DATE OF BIRTH |
| | ton, OH 45638 | | : | /55 |
| NAMED IS THE EMPLOYER, LABOR ORGA AGENCY WHO DISCRIMINATED AGAINST | NIZATION, EMPLOYMENT AGENCY, ME (If more than one list below.) | APPRENTICESHIP COMM | ITTEE, STATE OR LOC | |
| NAME | NUMBER OF EMPLOYEES, MEMBE | RS . | TELEPHONE (Inc | dude Area Code) |
| AK Steel | >20 | | 1 | 0-331-5050 |
| STREET ADDRESS CIT | Y, STATE AND ZIP CODE | | | COUNTY |
| 703 Curtis Street Middlet | own, Ohio 45043 | | | |
| NAME | | TELEPHONE NUMI | BER (Include Area Code) | |
| | | | | |
| STREET ADDRESS CIT | IY, STATE AND ZIP CODE | | | COUNTY |
| CAUSE OF DISCRIMINATION BASED ON (| Check appropriate box(es)) | | DATE DISCRIMINATION | ON TOOK PLACE |
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| I want this charge filed with both the EEOC a | nd the State or local Agency, if any. I | NOTARY - (When necess | ary for State and Local Re | equirements) |
| will advise the agencies if I change my addres | ss or telephone number and I will | | | |
| cooperate fully with them in the processing of procedures. | my charge in accordance with their | I swear or affirm that I have of my knowledge, information | ve read the above charge a | and that it is true to the best |
| I declare under penalty of perjury that the form | egoing is true and correct. | SIGNATURE OF COMPI | | |
| |) , | | 3 | |
| Day and | SUBSCRIBED AND SWORN TO BEFORE ME THIS DATE (Day, month, and year) | | | |
| | n | (Day, month, and year) | | |
| Date 6 - 14 - 2002 Charging | Party (Signature) | L | | |

CHARGE OF DISCRIMINATION DARLENE DENISE CARTER PAGE 1

I. Overview of Individual and Class Allegations

AK Steel Corporation has discriminated and continues to discriminate against me in the terms and conditions of my application for employment with them on the basis of my race, African-American, by denying me the opportunity to be hired while hiring equally or less qualified white employees and subjecting me to a racially hostile application process and other forms of discrimination. I believe that AK Steel Corporation's actions are part of a continuing pattern and practice of discrimination against African-American applicants for employment and employees, including but not limited to, denying applicants hiring opportunities while providing such application process.

II. Statement of Facts

I applied to AK Steel in January 2002 for a general labor position at their plant in Ashland, Kentucky. I found out about the position at a career fair in Portsmouth, OH, where AK Steel had a booth. There, I filled out an application. I then took it to the AK Steel plant to submit it. A week later, they called to schedule me for a test, which was administered in the basement of the plant. The test, which asked logical and general questions, took two hours and was not very difficult. I thought I did medium to good on it. Approximately a week and a half later, I spoke with Susan Lester, an AK recruiter, on the phone. She told me that I had failed the test and refused to answer my questions or provide any further information about it.

III. Statement of Discrimination

I believe that AK Steel Corporation has discriminated against me and continues to discriminate against me in the terms and conditions of my applications for employment with them on the basis of my race, African-American, in violation of the Civil Rights Act of 1964, as amended, because white applicants with fewer qualifications than me have been hired and I have not, and because I have been subjected to a racially hostile application process and other forms of discrimination and denied the right to apply for work in an environment free of racial discrimination.

I have been adversely affected by these discriminatory practices by being deprived of the equal opportunity to be hired. Such discrimination denies me and other African-Americans the right to be hired for positions for which we are qualified.

This systemic discrimination also adversely affects the status of African-Americans as employees by promoting and reinforcing racial stereotypes and bias in hiring.

DARLENE DENISE CARTER PAGE 2

IV. Statement of Classwide Discrimination on the Basis of Race

Based on my experiences, I believe that AK Steel Corporation has engaged and continues to engage in a pattern and practice of discrimination against its African-American applicants for employment and employees that denies them equal employment opportunities in ways including but not limited to the following: denying them hiring opportunities while providing such opportunities to equally or less qualified white employees and subjecting them to a racially hostile application process and work environment in which few other African-Americans are employed. This policy violates Title VII of the 1964 Civil Rights Act, 42 U.S.C. Section 2000(e), et seq.

I believe this policy has effected a class of black persons comprised of all past, present and future black applicants for employment with AK Steel. I believe that these discriminatory practices occur throughout AK Steel Corporation. I make this charge on behalf of myself and all similarly situated African-American applicants for employment and employees.

I declare under penalty of perjury that the foregoing is true and correct.

Date: 6-14-2002

Charging Party (signature)

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF OHIO WESTERN DIVISION

| | | CVIII |
|-----------------------|----|----------------------------|
| VIVIAN BERT, et al., |) | D.D. CARTEL 8.15.07 LJ SMB |
| Plaintiffs, |) | 4 pmB |
| v. | .) | CASE NO. C-1-02-467 |
| |) | Judge Beckwith |
| AK STEEL CORPORATION, |) | Magistrate Judge Hogan |
| |) | |
| Defendant. |) | |

PLAINTIFF DARLENE CARTER'S RESPONSES TO DEFENDANT A. K. STEEL CORPORATION'S FIRST SET OF INTERROGATORIES

Comes now the Plaintiff, Darlene Carter, by and through her undersigned counsel of record and responds to the Defendant's First Set of Interrogatories as follows:

GENERAL OBJECTIONS

- 1. The Plaintiff objects to the definitions and instructions accompanying these interrogatories to the extent that they seek to impose burdens, duties and obligations upon the Plaintiff in excess of or different from those imposed by applicable law and the Federal Rules of Civil Procedure; Plaintiff will respond in accordance with said law and rules.
- 2. The Plaintiff objects to these requests to the extent they seek information and/or documentation regarding matters about which the Defendant has knowledge but has failed to disclose, or so limited their responses to previous discovery of the Plaintiff, so as to in effect deny the Plaintiff the information requested and needed by them to answer these requests.
- 3. The Plaintiff objects to these requests to the extent they seek to discover "core" work product, including the disclosure of the mental impressions, conclusions, opinions, and/or legal theories of

attorneys or other representatives of the Plaintiff, rather than seeking this information from the Plaintiff.

- 4. The Plaintiff objects to these requests to the extent they seek information and/or documentation protected by the attorney-client privilege or any other applicable privilege.
- 5. The Plaintiff objects to these requests to the extent that the Defendant is seeking to make them into a bill of particulars.
- 6. The Plaintiff objects to these requests to the extent they seek to require Plaintiff's counsel to present a "dress rehearsal" of the evidence to be submitted in the course of the proceedings. The mental impressions, legal theories, legal conclusions, and legal opinions of Plaintiff's counsel, as well as any communications between Plaintiff and her counsel, are clearly protected from discovery by the attorneyclient privilege and the work product doctrine.
- 7. The Plaintiff objects to these requests to the extent that the information and/or documentation sought, if any, was obtained and prepared in anticipation of litigation and the Defendants have not made the required showing of substantial need for the information and/or documentation or that the substantial equivalent of such information and/or documentation is unobtainable by other means. The Plaintiff further objects to these requests to the extent that the information and/or documentation called for. if any, is protected and is not discoverable under Rule 26(b)(3) of the Fed. R. Civ. P. and Hickman v. Taylor, 329 U.S. 495 (1947).
- 8. The Plaintiff objects to these requests to the extent that they seek information and/or documentation that is equally available to the Defendant and the burden on the Defendant to obtain the requested information and/or documentation is no greater than the burden on the Plaintiff.
 - 9. The Plaintiff objects to these requests to the extent they exceed the requirements of Rules

26, 30, 34 or 45 of the Fed. R. Civ. P.

- 10. The Plaintiff objects to these requests to the extent they seek information and/or documentation relating to expert witnesses, witnesses, and trial exhibits in excess of the disclosure duties imposed by the Fed. R. Civ. P. and the Court's Scheduling and Pretrial Orders relating to such matters.
- 11. The Plaintiff objects to these requests to the extent they require the Plaintiff to disclose "each and every" fact or piece of knowledge possessed by them as such a requirement is impossible to fulfill and is objectionably broad for failure to be "reasonably particularized".
- 12. The Plaintiff objects to these requests to the extent they request duplicative and cumulative information and/or documentation heretofore disclosed in conjunction with discovery already undertaken by the Defendant in this action.
- 13. The Plaintiff objects to these requests to the extent that they seek to require the Plaintiff's attorneys to divulge the substance of information and/or documentation developed by them (i.e., interpretive, not investigatory) in preparation for any hearing or trial in this action.
- 14. The Plaintiff objects to these requests to the extent that they do not, on their face, restrict themselves either to an identifiable time or a reasonable, rationally-based time frame.
- 15. The Plaintiff objects to these requests to the extent that they seek information and/or documentation from the Plaintiff regarding matters about which the Defendant has refused or objected to providing in their interrogatory or request for production responses.
- 16. The Plaintiff objects to these requests to the extent that they are oppressive, i.e., they were designed to create an unreasonable burden on the Plaintiff which burden is not commensurate with the professed result sought.

17. The Plaintiff objects to these interrogatories to the extent they seek answers to multiple

interrogatories within a single interrogatory.

These requests are addressed to the Plaintiff and the responses herein are based on 18.

information and/or documentation presently available to the Plaintiff. Investigation is presently continuing,

however, and additional information and/or documentation pertinent to these requests may well be

disclosed as a result of such ongoing and continuing investigation.

Except as otherwise indicated, the Plaintiff incorporates the General Objections into each and every

answer set forth below. Without waiving the foregoing objections, the Plaintiff answers as follows:

INTERROGATORY NO. 1: Identify all litigation or legal proceedings in which you have been

a witness or party, including the name and number of the case, the court or administrative agency for which

the case was pending, and a brief description of the nature of the case, and the year in which the matter was

pending.

SPECIFIC OBJECTION TO REQUEST: Plaintiff objects because this request does not seek

relevant information or that information subject to production under Fed. R. Civ. P. 26, and thus, this

request is unduly harassing, burdensome, and oppressive. Subject to and without waiving the foregoing

general and specific objections, Plaintiff responds as follows:

ANSWER:

Bert, et al, v. AK Steel

Case No.: 1:02-CV-00467

United States District Court

Southern District of Ohio (Cincinnati)

Nature of case: Race discrimination in hiring

INTERROGATORY NO. 2: Identify all employers for whom you have worked since January

1, 2001, including the dates of employment, the positions held, amounts paid per week, and reason for

4

leaving, if applicable.

SPECIFIC OBJECTION TO REQUEST: Plaintiff objects because this request does not seek relevant information or that information subject to production under Fed. R. Civ. P. 26, and thus, this request is unduly harassing, burdensome, and oppressive. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

August 16, 2002 to present: Sam's Club as a demonstrator, part time work from ANSWER: 16 to 40 hours per week at \$8.00 per hour.

INTERROGATORY NO.3: Identify all employers to whom you applied for employment since January 1, 2001, including the date you submitted written applications, the job to which you applied, the dates you were interviewed, the employers who tendered you job offers, and a description of the offer.

SPECIFIC OBJECTION TO REQUEST: Plaintiff objects because this request does not seek relevant information or that information subject to production under Fed. R. Civ. P. 26, and thus, this request is unduly harassing, burdensome, and oppressive. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

ANSWER: I have applied to A. K. Steel Corporation and the employers listed in my response to Interrogatory No. 2.

INTERROGATORY NO. 4: Identify all income received from whatever source, by amount each week after January 1, 2001.

ANSWER: Please see my response to Interrogatory No. 2.

INTERROGATORY NO. 5: Identify by name, address and telephone number all individuals who have knowledge about or information about the allegations in the Complaint, and state a summary of

what you believe their knowledge to be.

ANSWER: Marnie Carter at 908 S. 8th Street, Ironton, Ohio and Darrell Carter at 901 South
7th Street, Ironton, Ohio

<u>INTERROGATORY NO. 6:</u> Identify all individuals who you intend to call as witnesses in the litigation of this matter.

SPECIFIC OBJECTION TO REQUEST: Plaintiffs objects because this Interrogatory violates the Court's Order establishing a deadline for Plaintiff to disclose witnesses and testimony. Plaintiff further objects to the extent this interrogatory seeks information protected by the attorney-client privilege or other privilege and/or the work product doctrine. Subject to and without waiving the foregoing general and specific objections, Plaintiff responds as follows:

ANSWER: Plaintiff will abide by the deadlines established by the Court and the disclosure requirements set by the Federal Rules of Civil Procedure to disclose the witnesses they will use at trial in this case.

Respectfully Submitted

Robert V. Childs, Jr.

Herman N. Johnson, Jr.

WIGGINS, CHILDS, QUINN & PANTAZIS, LLC.

Page 20 of 25

The Kress Building

301 19th Street North

Birmingham, Alabama 35203

(205) 328-0640

(205) 254-1500 (facsimile)

Tobias, Kraus & Torchia, LLP 414 Walnut Street Suite 911 Cincinnati, Ohio 45202 (513) 241-8137 (513) 241-7863 (facsimile)

ATTORNEYS FOR THE PLAINTIFFS

CERTIFICATE OF SERVICE

I do hereby certify that on May 10, 2007, I served the foregoing via U.S. Mail and electronic mail upon the following:

Gregory Parker Rogers Lawrence James Barty Patricia Anderson Pryor Taft, Stettinius & Hollister, LLP 1800 First Star Tower 425 Walnut Street Cincinnati, OH 45202

Fax: (513) 381-0205

VERIFICATION

I, He is Carter, hereby state that the answers to the foregoing interrogatories are true and complete to the best of my knowledge.

Carter

This the $\frac{th}{5}$ day of April, 2007.

H&R Block

| 2004 | Tax | Return | Summary |
|------|-----|--------|---------|
|------|-----|--------|---------|

| Federal Year over Year Comparison | | Year 2004 | Year 2003 | Change(\$) |
|---------------------------------------|---------|--------------------|----------------------|--------------------|
| Wages, salaries, tips Total income | | \$9,986 \$9,986 | \$10,877 \$10,877 | (\$891) (\$891) |
| ADJUSTED GROSSINCOME | | | · | |
| Total income less total adjustments | | \$9,986 | \$10,877 | (\$891) |
| PAYMENTS | <u></u> | | | |
| Federal withholding | | \$816 | \$886 | (\$70) |
| Earned income credit | | \$2,604 | \$2,547 | \$57 (\$38) |
| Additional child tax credit | | \$0 | \$38 \$3,471 | (\$51) |
| Total payments | | \$3,420 | φυ,411 | (ψΟ1) |
| REFUND | | | | |
| Overpayment . | | \$3,420 | \$3,471 | (\$51) |
| Refund due | | \$3,420 | \$3,471 | (\$51) |
| ···· | et et a | | | |
| OTHER COMPUTATIONS | ···· | | | |
| Alternative minimum taxable income | | \$9,986 | \$10,877 | (\$891) |
| Filing status | | Head of Household | Head of Household | |

An H&R Block Tax Professional is available year-round to provide you with information about these opportunities. For more information about tax, mortgage and financial services call 1-800-HRBLOCK or visit hrblock.com.

This H&R Block Advantage document provides suggestions that may help you improve your tax and financial situation, its contents should be considered in conjunction with information you receive from other sources that are familiar with your specific circumstances. H&R Block Financial Advisors, Inc., a subsidiary of H&R Block Inc. offers investment services and securities products. H&R Block Financial Advisors, Inc. is a dually-registered investment advisor and broker-dealer and a member of NYSE/SIPC. Tax services offered through subsidiaries of H&R Block Services Inc., Mortgage services offered through H&R Block Mortgage Inc., H&R Block Services Inc., and H&R Block Mortgage Inc. are not registered broker-dealers.

| Capital point Capital poin | Form 1040A | Department of the Treasury - Internal Revenue Service U.S. Individual Income Tax Return (99) 2004 IRS Use Or | nly - Do not write or staple in this space. |
|--|----------------------|--|---|
| Dark Lene Dark | | | |
| Use the Richard DARLENG D CARTER TRONTON, OH 45638 | | | Your social security number |
| Spouse S | (See page 18.) | DARLENE D CARTER | |
| IRR blabel IRONTON OH 45638 Important You must enter your follows: You Spouse IRONTON OH 45638 | Use the | | Spouse's social security number |
| Otherwise Presidential Election Campaign Filing 1 Single Single One of the Checking Yes' will not change your tax or reduce your returnd. You Spouse Yes Xis page 18.1 One of the Checking Yes' will not change your tax or reduce your returnd. You Spouse Yes Xis page 18.1 One of the Checking Yes' will not change your tax or reduce your returnd. You Spouse Yes Xis page 19.1 Xis p | | | |
| Presidential Election Campaign Note, Checking Yes' will not change your tax or reduce your refund. Yes Spouse Yes Note | | IRONION, On 1900 | |
| Presidential Election Campaign Election Campaign Election Campaign Size page 13) Note. Checking "Yos" will not change your tax prreduce your refund. Vou | | | |
| Filtering 1 Single Single | C. 13,000 | | |
| Single S | | Note Checking "Yes" will not change your tax or reduce your refund. | |
| Filing Status 2 | | Do you gryour spouse if filing alount return want \$3 to go to this land? | Yes X No Yes No |
| Status 2 Married filing jointly (evst if only one had income) # the goal yrap peace in a schid but not your dependent, enter this schid but not your dependent, enter this box 6a. Exemptions 6a IX Yourself. Its omeone can claim you as a dependent, do not check box 6a. | | a take three or the control of the c | ualifying person). (See page 19.) |
| Check Only on abox. A | • | | ld but not your dependent, enter this |
| Some | | 3 Newlad filling congretally. Firms conjugate SSN above & full rappe below. child sname here. | |
| Exemptions 6a | | 5 Qualifying widow(er) with a | dependent child (see page 19) |
| box 6a. b Spouse c Dependents: (1) First name Last name Social security number social security number (see p. 22) ALEXA CARTER ALEXA CARTE | | 52 Y Yourself It someone can claim you as a dependent, do not check | |
| b Spouse c Dependents: (1) First name Last name ALEXA CARTER Add number of exemptions claimed. Add numbers on exemptions claimed. Bas Taxable interest, Attach Schedule Hitroplined. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Attach Schedule Hitroplined. Bas Tax-exempt interest, Attach Schedule Hitroplined. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Denoth include on vina 8a. 9b. Bas Tax-exempt interest, Attach Schedule 1 If explined. Bas Tax-exempt inter | Exemptions | | |
| c Dependents: (1) First name Last name social security number social security number of exemptions claimed. ALEXA CARTER Alexander Alexande | | | |
| If more than six dependents ALEXA CARTER ALEXA CARTER ALEXA CARTER DAUGHTER X Color and fire with your dumper of the page 20. ALEXA CARTER | | (3) Dependent's | s (4)√if qual. |
| If more than six dependents, see page 20. ALEXA CARTER | | (2) Dependent's relationship to | child for willyed with |
| Il more than six dependents, see page 20. d Total number of exemptions claimed. 1 Total number of exemptions claimed. 1 Total number of exemptions claimed. 2 Mages, salaries, tips, etc. 3 Tax axble interest. Attach Schedule interest, Attach Schedule interest attach Schedule interest. Attach Schedul | | (1) First name Last name social security number you | |
| Imore than six dependents, see page 20. d Total number of exemptions claimed. Total number of exemptions claimed. Dependents entered above | | DAUGHTER DAUGHTER | X to divorce or |
| dependents, see page 20. d Total number of exemptions claimed. Total number of exemptions claimed. | | ADBAA CARIBR | |
| d Total number of exemptions claimed. Add numbers of these above Add numbers on these above | | | |
| Income 7 Wages, salaries, tips, etc. 7 9, 98 Attach Form(s) W-2 here, Also attach Form(s) W-109-R if tax was withheld. H you did not get aw 2, see page 229. Enclose, but do not attach, any payment. 13 Unemployment compensation & Ataska Permanent Fund dividends. 14 Social security benefits. 14a Adjusted gross income 15 Add lines 7 through 14b (far right esiumn). This is your total and justments. 16 Educator expenses (see page 29). 17 IRA deduction (see page 29). 18 Studenthoan interest deduction (see page 29). 19 Cabital pain 14b (far right esiumn). This is your total adjustments. 20 Add lines 16 through 19. These are your total adjustments. | see page 20. | | |
| Income 7 Wages, salaries, tips, etc. 7 9, 98 Attach Form(s) W-2 here. Also attach Form(s) 1099 R if tax was withheld. If you'did not get a W-2, see page 22. Face case page 22. 112 Pensions and annutites. 12a (see page 23). Enclose but do not attach, any payment. 13 Unemployment compensation & Alaska Permanent Fund dividends. 14a Social security benefits. 14a Add lines 7 through 14b (flar right column). This is your sotal income. Adjusted gross income 15 Add lines 7 through 19th (flar right column). This is your sotal income. Attach 7 9, 98 Add lines 16 through 19. These are your total adjustments. 20 Attach 7 9, 98 Attach 7 9, 98 Attach 7 9, 98 Attach 8a Taxable interest. Attach Schedule 1 if required. 8a Face exempt interest. Attach Schedule 1 if required. 9a Ordinary dividends. Attach Schedule 1 if required. 9a Ordinary dividends (see page 23). 9b 10 11b 11c 11c 11c 11c 11c 11c | | | |
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| Attach Form(s) W-2 here. Also attach Form(s) Form(s) 9a Ordinary dividends. Attach Schedule 1 if required. 9a Ordinary dividends. Attach Schedule 1 if required. 9b Ordinary dividends. Attach Schedule 1 if required. 9c Ordinary dividends. 9c Ordinary dividen | | d Total number of exemptions claimed. | |
| Attach Form(s) W-2 here. Also attach Form(s) W-2 here. Also attach 9a Ordinary dividends. Attach Schedule 1 if required. 9a Ordinary dividends (seep age 23) 9B | Income | 7 Wanes, salaries, tips, etc. | 7 9,986 |
| Taxable interest. Attach Schedule-Liftregulied. Same Page 24 | | | |
| here. Also attach Form(s) 10 | , | 8a Taxable interest. Attach Schedule Liftrequired. | 8a |
| Attach Form(s) 1093- R if tax was withheld. It you did not get a W- 2, see page 22. 11 | | b Tax-exempt interest. Do not include on time 8a. | |
| 1099 R if tax was withheld 10 Capital gain distributions (see page 23) 11b Taxable amount (see page 24) 12b Taxable amount (see page 26) 14b Taxable amount (see page 26) 15c Taxable amount (see page 26) 16c Taxable amount (see page 26) 17c Taxable amount (see page 26) 18c Taxable amount (see page 26) 17c Taxable amount (see page 26) 18c Taxable amount (see page 26) 17c Taxable amount (see page 26) 18c Taxable amount (see page 26) 17c Taxable amount (see page 26) 17c Taxable amount (see page 26) 18c Taxable amount (see page 26) 17c | | 9a Ordinary dividends, Attach Schedule 1 if required. | 9a |
| 10 Capital gain distributions (see page 28) 10 | | b Qualified dividends (see page 23.) | |
| It you did not get a W- 2, see page 22. Table 12a | | | 10 |
| See page 23 11b 12b 1 | | 11h Toyohla amount | |
| 12a Pensions and annuities, 12a 12b Taxable amount (see page 24). 12b | | (non none 22) | 11b |
| Enclose, but do not attach, any payment. 13 Unemployment compensation & Alaska Permanent Fund dividends. 14a Social security benefits. 14a 15 Add lines 7 through 14b (far righteolumn). This is your total income. Adjusted gross income 16 Educator expenses (see page 26). 17 IRA deduction (see page 26). 18 Student loan interest deduction (see page 29). 19 Tuition and fees deduction (see page 29). 20 Add lines 16 through 19. These are your total adjustments. 21 9, 98 | | 12h Tayohla amount | • |
| Adjusted gross income 13 Unemployment compensation & Alaska Permanent Fund dividends. 14a Social security | | (200 page 24) | 12b |
| Adjusted gross income 13 Unemployment compensation & Alaska Permanent Fund dividends. 14a Social security benefits. 14a 15 Add lines 7 through 14b (far right column). This is your total income. 16 Educator expenses (see page 26). 17 IRA deduction (see page 26). 18 Student loan interest deduction (see page 29). 19 Tuition and fees deduction (see page 29). 20 Add lines 16 through 19. These are your total adjustments. 21 9, 98 | | | |
| 14a Social security benefits. 14a 14b Taxable amount (see page 26). 14b | | 13 Unemployment compensation & Alaska Permanent Fund dividends. | 13 |
| benefits. 14a (See page 26). 14b 15 Add lines 7 through 14b (far right solumn). This is your total income. 15 9, 98 Adjusted gross income 16 Educator expenses (see page 26). 17 IRA deduction (see page 26). 18 Student loan interest deduction (see page 29). 18 19 Tuition and fees deduction (see page 29). 19 20 Add lines 16 through 19. These are your total adjustments. 20 | | 14a Social security 14b Taxable amount | |
| Add lines 7 through 14b (far rightscolumn). This is your total income. Adjusted gross income 16 Educator expenses (see page 26). 17 IRA deduction (see page 26). 18 Student loan interest deduction (see page 29). 19 Tuition and fees deduction (see page 29). 20 Add lines 16 through 19. These are your total adjustments. 20 21 Subtract line 30 from line 15. This is your adjusted gross income. | | (Manager 196) | 14b |
| Add lines 7 through 14b (far highespointh): Missayou attains 16 Add lines 7 through 14b (far highespointh): Missayou attains 16 If Educator expenses (see page 26): IRA deduction (see page 26): IRA deduction (see page 29): IS Student loan interest deduction (see page 29): IS Tuition and fees deduction (see page 29): IS Add lines 16 through 19. These are your total adjustments. 20 21 Subtract line 30 from line 15. This is your adjusted gross income. | | | |
| Adjusted gross income 16 Educator expenses (see page 26) 16 17 18 17 18 18 18 19 19 19 19 19 | | 15 Add lines 7 through 14b (far right column). This is your total income. | ► 15 9,986 |
| gross income 17 IRA deduction (see page 26). 17 18 Student loan interest deduction (see page 29). 18 19 Tuition and fees deduction (see page 29). 19 20 Add lines 16 through 19. These are your total adjustments. 20 21 Subtract line 30 from line 15. This is your adjusted gross income. | | | |
| income 18 Student loan interest deduction (see page 29). 18 19 Tuition and fees deduction (see page 29). 19 20 Add lines 16 through 19. These are your total adjustments. 20 21 Subtract line 30 from line 15. This is your adjusted gross income. | • | 17 | <u>.</u> |
| Tuition and fees deduction (see page 29). 19 20 Add lines 16 through 19. These are your total adjustments. 20 21 Subtract line 30 from line 15. This is your adjusted gross income. | - | | |
| 20 Add lines 16 through 19. These are your total adjustments. | income | | |
| 9,98 | | 20 Add lines 16 through 19. These are your total adjustments. | 20 |
| | | Zu Addines roundogn for medalic ja- | |
| | | 21 Subtract line 20 from line 15. This is your adjusted gross income. | |
| KBA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see page 57. | VDA ED | sclosure Privacy Act, and Paperwork Reduction Act Notice, see page 57. | Form 1040A (20 |

| - Form 10/0Δ (200/ | DARLENE D CARTER | Page 2 |
|-------------------------------|---|--|
| Tax, | 22 Enter the amount from line 21 (adjusted gross income). | 22 9,986. |
| rax, credits, | 23a Check You were born before January 2, 1940, Blind Total boxes | |
| and | if: Spouse was born before January 2, 1940, Blind checked ▶ 23a | |
| payments | | |
| Standard | b If you are married filing separately and your spouse itemizes deductions, see page 30 and check here ▶ 23b | |
| Deduction ! | - Goodelione, see Page of and one | 24 7.150. |
| for — | 24 Enter your standard deduction (see left margin). | 24 7,150. 25 2,836. |
| People who shocked one | 25 Subtract line 24 from line 22. If line 24 is more than line 22, enter - 0 | 2,000. |
| checked any box on line | 26 If line 22 is \$107,025 or less, multiply \$3,100 by the total number of | |
| 23a or 23b or | exemptions claimed on line 6d. If line 22 is over \$107,025, see the | 26 6,200. |
| who can be | worksheet on page 32. | 26 0,200: |
| claimed as a dependent, | 27 Subtract line 26 from line 25.4ffline 26 is more than line 25, enter 0: | N 07 |
| see page 31. | This is your taxable income. | <u>▶ 27</u> 0. 28 0. |
| All others: | 28 Tax, including any alternative minimum tax (see page 31). | |
| Single or | 29 Credit for child and dependent care expenses. | |
| Married filing separately, | Attach Schedule 2. 29 | |
| \$4,850 | 30 Credit for the elderly or the disabled. Attach | |
| Married filing jointly or | Schedule 3. 30 | |
| Qualifying widow(er), | 31 Education credits. Attach Form 8863. 31 | |
| \$9.700 | 32 Retirement savings contributions credit. Attach | |
| Head of household. | Form 8880. 32 | |
| \$7,150 | 33 Child tax credit (see page 36). 33 | |
| | 34 Adoption credit. Attach Form 8639: 34 | |
| | 35 Add lines 29 through 34. These are your total credits. | 35 |
| * | 36 Subtract line 35 from line 28. If line 353s more than line 28, enter - 0 | 36 0. |
| | 37 Advance earned income credit payments from Form(s) W-2. | 37 |
| | 38 Add lines 36 and 37. This is your total tax | ▶ 38 0. |
| | 39 Federal income tax withheld from Forms W-2 and 1099. 39 81 | <u>6.</u> |
| | 40 2004 estimated tax payments and amount | |
| (Huou boug | applied from 2003 return. 40 | . |
| If you have a qualifying | 41a Earned income credit (EIC). 41a 2,60 | <u>4.</u> |
| child, attach | b Nontaxable combat pay election. 41b | |
| Schedule EIC. | 42 Additional child tax credit. Attach Form 8812. 42 | |
| | 43 Add lines 39, 40; 41æ & 42. These are your total payments. | ► 43 3,420. |
| Defund | 44 If line 43 is more than line 38, subtract line 38 from line 43. | |
| Refund | This is the amount you overpaid. | 44 3,420. |
| Direct | 45a Amount of line 44 you want refunded to you. | ▶ 45a 3,420. |
| deposit? | ▶ b Routing | |
| See page 49 | number C Type: X Checking Savings | |
| and fill in 45b, 45c, | ▶ d Account | |
| and 45d. | number | |
| | 46 Amount of line 44 you want applied to your | |
| | 2005 estimated tax. 46 | |
| Amarina | 47 Amount you owe. Subtract line 43 from line 38. For details on how | |
| Amount | to pay, see page 51. | ▶ 47 |
| you owe | 48 Estimated tax penalty (see page 57). 48 | |
| Think and | Do you want to allow another person to discuss this return with the IRS (see page 52)? X Yes. C | Complete the following No |
| Third party | Designee's name Phone no. | Personal ID number |
| designee | | 488 (PIN) ► 46103 |
| Sign | Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements knowledge and belief, they are true, correct, and accurately list all amounts and sources of income I received during the present has any knowledge. | s, and to the best of my on the tax year, Declaration |
| here | of preparer (other than the taxpayer) is based on all information of which the preparer has any knowledge. | |
| Joint return? | Your signature Date Your occupation | Daytime phone number |
| See page 18. | For Info Only-Do not file DEMO | |
| Keep a copy | Spouse's signature. If a joint return, both must sign. Date Spouse's occupation | |
| for your records. | For Info Only-Do not file | <i>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</i> |
| | Preparer's Date Checkif | Preparer's SSN or PTIN |
| Paid | signature 1/17/2005 self- employed | P00457385 |
| preparer's | Firm's name (or A H AND R BLOCK | IN 43-1862224 |
| use only | | Phone no. (740) 532-4578 |
| | | Form 1040A (2004) |